

Studying Geoengineering with Natural and Anthropogenic Analogs

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1 **Abstract**

2 Solar radiation management (SRM) has been proposed as a possible option for offsetting
3 some anthropogenic radiative forcing, with the goal of reducing some of the associated climatic
4 changes. There are clearly significant uncertainties associated with SRM, and even small-scale
5 experiments that might reduce uncertainty would carry some risk. However, there are also
6 natural and anthropogenic analogs to SRM, such as volcanic eruptions in the case of
7 stratospheric aerosol injection and ship tracks in the case of marine cloud albedo modification. It
8 is essential to understand what we can learn from these analogs in order to validate models,
9 particularly because of the problematic nature of outdoor experiments. It is also important to
10 understand what we cannot learn, as this might better focus attention on what risks would need to
11 be solely examined by numerical models. Stratospheric conditions following a major volcanic
12 eruption, for example, are not the same as those to be expected from intentional geoengineering,
13 both because of confounding effects of volcanic ash and the differences between continuous and
14 impulsive injection of material into the stratosphere. Nonetheless, better data would help
15 validate models; we thus recommend an appropriate plan be developed to better monitor the next
16 large volcanic eruption. Similarly, more could be learned about cloud albedo modification from
17 careful study not only of ship tracks, but of ship and other aerosol emission sources in cloud
18 regimes beyond the narrow conditions under which ship tracks form; this would benefit from
19 improved satellite observing capabilities.

20
21 **Keywords:** Geoengineering, Volcanic eruptions, Ship Tracks, Aerosols

22 **1. Introduction**

23 Geoengineering by means of solar radiation management (SRM) has been suggested as a
24 potential approach (in concert with mitigation of greenhouse gas emissions) to manage climate
25 change (Crutzen, 2006; Shepherd et al., 2009; GAO, 2011). We focus here on two SRM ideas in
26 particular: the intentional introduction of stratospheric aerosols to scatter some incoming sunlight
27 (e.g., Budyko, 1977), and altering the albedo of marine boundary layer clouds by injecting
28 additional aerosols (Latham, 1990). Before decisions can be made about implementation, it is
29 essential to improve our scientific understanding of likely positive and negative impacts. Much
30 of this understanding can come from numerical modeling (Bretherton and Rasch, 2013).
31 Outdoor experiments might address some gaps in knowledge, but even small-scale experiments
32 outside a laboratory environment could carry some risk (SRMGI, 2011). However, for both of
33 the concepts considered here, there are natural or anthropogenic analogs: volcanic eruptions have
34 provided the motivation for stratospheric aerosol SRM, while observations of ship tracks have
35 provided the motivation behind marine cloud brightening. The processes related to these analogs
36 are also important for understanding climate change itself. Here we discuss using analogs to
37 study SRM.

38 While volcanic eruptions provide the evidence that increased stratospheric aerosols
39 would indeed cool the planet, there are many reasons for concern about geoengineering with
40 stratospheric aerosols (Robock, 2008), with many of these concerns yet to be quantified.
41 Increasing marine boundary layer cloud albedo through injection of sea-salt aerosols to form
42 additional cloud condensation nuclei (CCN) could have different undesired side-effects than
43 stratospheric aerosols (e.g., Jones et al., 2009), and the effectiveness is more poorly understood.

44 For example, the conditions under which adding CCN would increase cloud albedo are not well
45 known (Wang et al., 2011).

46 A long-term roadmap for geoengineering research (e.g., Caldeira and Keith, 2010) would
47 clearly involve more modeling studies than have been done to date, possibly some limited small-
48 scale but open-atmosphere experiments to resolve specific process questions (David Keith and
49 James Anderson, personal communication, 2012), and only if implementation were planned, an
50 initial subscale deployment phase to better understand the climate response (MacMynowski et
51 al., 2011); progress would also be needed in governance appropriate to each stage. However,
52 missing from this description is that much can be learned from a better understanding of natural
53 and anthropogenic analogs, both to directly understand potential consequences, and to evaluate
54 models. This knowledge could minimize or altogether avoid any risky experimentation with the
55 planet. Here we discuss fundamental questions about SRM that can be studied using analogs.

56 **2. Volcanic Analogs**

57 The observation that large volcanic eruptions cool the planet was one of the original
58 motivations for suggesting geoengineering (e.g., Budyko, 1977, Crutzen, 2006), with the
59 eruption of Mount Pinatubo in 1991 for example cooling the planet by roughly 0.5°C (Soden et
60 al., 2002) by the injection of 20 Mt sulfuric acid into the stratosphere, producing more than 30
61 Mt of sulfate aerosols (Bluth et al., 1992). However, while it is clear from these natural analogs
62 of geoengineering that “mimicking” a volcanic eruption by producing sulfate or other aerosols in
63 the stratosphere will result in cooling, there are many uncertainties regarding both the
64 effectiveness and the side effects (i.e., the risks). One of the most valuable opportunities for
65 reducing the uncertainties and risks of geoengineering with stratospheric aerosols thus comes

66 from further study of past volcanic eruptions and from studying the climate system response to
67 future volcanic eruptions.

68 One of the main differences between a somewhat permanent stratospheric aerosol cloud
69 proposed for geoengineering and clouds produced by volcanic eruptions is the lifetime. The e-
70 folding lifetime of stratospheric clouds from tropical volcanic eruption is about one year
71 (Robock, 2000), while it is 2-4 months for those from high latitude eruptions (Kravitz and
72 Robock, 2011). (This also informs us about the frequency of stratospheric aerosol precursors
73 that would be needed to maintain a cloud in the stratosphere.) The difference in lifetimes means
74 that climate system responses with long time scales, such as oceanic responses, would be
75 different between volcanic eruptions and geoengineering, but rapid responses, such as seasonal
76 responses of monsoon circulations and precipitation would be quite similar, and the volcanic
77 analog would be appropriate. For example, MacMynowski et al. (2011a, 2011b) showed that
78 precipitation response to stratospheric forcing had only a weak dependence on the frequency of
79 the applied forcing, in contrast to the temperature response, which depends on the longer
80 timescales imposed by ocean thermal inertia.

81 **2.1. Lessons from past volcanic eruptions**

82 Volcanic eruption analogs already tell us many things about the potential effects of
83 stratospheric aerosol clouds. These were briefly discussed by Robock et al. (2008), but there are
84 many more examples, discussed here, including additional things that could be learned from
85 more studies. The beneficial impacts include:

86 *Cool the surface, reducing ice melt and sea level rise.* It is well-known that global
87 average climate cools after large volcanic eruptions (Robock, 2000). After the 1991 Mt.
88 Pinatubo eruption, in addition to the global cooling, Stenchikov et al. (2009) and Otterå et al.

89 (2010) found long-term impacts on ocean heat content and sea level, and Zanchettin et al. (2010)
90 found an impact on North Atlantic Ocean circulation a decade later, so we might expect impacts
91 from SRM also, but would need models and not observations to quantify them.

92 *Increase the CO₂ sink.* Following volcanic eruptions, observations show an increase of
93 the CO₂ sink from global vegetation. The main cause is a shift from direct to diffuse solar
94 radiation (Robock, 2000), which enhances vegetation growth (Mercado et al., 2009). But net
95 primary productivity also responds to temperature and precipitation changes, and vegetation
96 adjusts to changing conditions, so the net effect from a continuous stratospheric aerosol cloud
97 needs further study.

98 However, volcanic analogs also suggest a number of negative effects from a continuous
99 stratospheric aerosol cloud. These include:

100 *Reduced summer monsoon precipitation.* The reduction in sunlight after large volcanic
101 eruptions cools land more than oceans. In the summer, this reduces the temperature contrast
102 between warm continents and cooler oceans, weakening the African and Asian summer monsoon
103 circulation and the resultant precipitation. This has been observed after every major volcanic
104 eruption, including 1783 Laki and 1912 Katmai (Oman et al., 2006), 1982 El Chichón (Robock
105 and Liu, 1994), and 1991 Pinatubo (Trenberth and Dai, 2007). Anchukaitis et al. (2010) showed
106 the average effect on the summer Asian monsoon using tree rings for many centuries. Whether
107 this effect is truly dangerous depends on the proposed SRM strategy, but it would be difficult to
108 design an SRM strategy without negative impacts on precipitation (Ricke et al., 2010).

109 *Destroy ozone, allowing more harmful UV at the surface.* Observations following the
110 1982 El Chichón and 1991 Pinatubo eruptions showed additional ozone depletion because of
111 heterogeneous chemistry on the additional stratospheric aerosols, in the same process that

112 produces the spring ozone hole over Antarctica on polar stratospheric clouds (Solomon, 1999).
113 This has also been simulated in response to SRM (e.g., Tilmes, et al., 2008).

114 *Produce rapid warming when stopped.* Observations show that once a volcanic cloud is
115 removed from the atmosphere, the climate system rapidly warms. If geoengineering were
116 implemented for a long time and then stopped, this warming rebound would produce a much
117 more rapid climate change than the gradual climate change now happening because of increasing
118 greenhouse gases.

119 *Make the sky white.* A volcanic aerosol cloud makes the sky whiter, particularly near the
120 Sun, where a large amount of the sunlight is forward scattered (e.g., Plate 3, Robock, 2000).
121 Kravitz et al. (2012) showed that this would also be the case for stratospheric SRM. However, it
122 would produce pretty sunsets (Zerefos et al., 2007).

123 *Reduce solar power.* The same process that increases diffuse sunlight reduces direct
124 sunlight, affecting solar thermal electricity generation. Murphy (2009) found that for 9 solar
125 thermal power plants in California during the summer of 1992 after the 1991 Pinatubo eruption,
126 the summer on-peak capacity was reduced by 34% from pre-Pinatubo levels, because of a
127 reduction in direct solar radiation.

128 *Perturb the ecology with more diffuse radiation.* The same mechanisms that would
129 increase the CO₂ sink would affect different plants differently, and the net effect on ecosystems
130 and agriculture is not clear. Certainly there would be changes.

131 *Damage airplanes flying in the stratosphere.* Following the 1991 Pinatubo eruption, in
132 addition to direct airplane damage from volcanic ash encounters immediately after the eruption,
133 there was long-term damage to airplanes flying through a dilute sulfuric acid bath, particularly
134 on polar routes where commercial aircraft entered the lower stratosphere. For example, this

135 required more frequent replacement of windows after the 1982 El Chichón eruption (Bernard and
136 Rose, 1996).

137 *Degrade astronomical observations.* Any cloud that reflects some sunlight back to space
138 will also reflect starlight. Furthermore, it will heat the stratosphere, producing enhanced
139 downward longwave radiation, and could impact stratospheric water vapor content; these would
140 affect IR astronomy. How important these effects would be for astronomical observations
141 remains to be determined. It would be interesting to search for such effects after the 1991
142 Pinatubo eruption, and determine how such a cloud in the future would affect modern
143 astronomical equipment and stargazing.

144 *Affect remote sensing.* A stratospheric aerosol cloud would also affect shortwave and
145 longwave radiation leaving Earth and observed by satellites. After the 1982 El Chichón
146 eruption, the simultaneous development of a very large El Niño was not detected for months,
147 since the enhanced longwave emissions from the warm ocean were masked by the stratospheric
148 cloud (Strong, 1984). At the same time, famine warning systems were triggered by erroneous
149 inputs to normalized difference vegetation index calculations.

150 **2.2. What more can we learn from future eruptions?**

151 While past volcanic eruptions inform us of some of the potential impacts of stratospheric
152 aerosol clouds, there are several additional questions that can be addressed by planning for
153 observations of the next large eruption, as well as additional study of past ones. These include:

154 *What will be the size distribution of sulfate aerosol particles created by geoengineering?*
155 Will they remain at the typical effective radius of about 0.5 μm observed after Pinatubo, or will
156 they grow as additional sulfate creates larger rather than more particles? Even though a typical
157 large volcanic eruption is a one-time stratospheric injection, we can learn from the initial

158 processes of conversion from SO₂ gas to sulfate particles and then to particle growth. The issue
159 of how particle sizes evolve for geoengineering has been addressed through simulations
160 (Heckendorn et al. 2009, Hommel and Graf, 2010, English et al. 2012a), but there are limited
161 data to support analysis. It is also important to understand how particle size evolution depends
162 on injection strategy (injecting SO₂ or H₂SO₄) and the pattern of injection (Pierce et al., 2010;
163 English et al., 2012a). Such models can be tested by imposing the exact emissions from future
164 volcanic eruptions, if the particle evolution from the eruptions is well monitored.

165 *How will the aerosols be transported throughout the stratosphere?* Under what
166 conditions do tropical injections gradually spread globally? Do injections in the subtropics stay
167 in one hemisphere? What are their lifetimes? How do high latitude injections behave? How
168 does the phase of the Quasi-Biennial Oscillation affect the transport? Does the El Niño/Southern
169 Oscillation (ENSO) phase play a role through tropospheric impacts on atmospheric circulation?
170 What is the dependence on the height of the injections? This work could build on studies of
171 nuclear bomb tests and past eruptions (e.g., Gao et al., 2007).

172 *How do temperatures change in the stratosphere as a result of the aerosol interactions*
173 *with shortwave (particularly near IR) and longwave radiation?* Is there a response in the
174 circulation to these temperature and resulting geopotential height changes? This question is
175 intimately related to the question above and the next two questions.

176 *Are there large stratospheric water vapor changes associated with stratospheric*
177 *aerosols? Is there an initial injection of water from the eruption?* How do temperature and
178 circulation changes in the stratosphere affect the tropical tropopause layer, and does heating this
179 layer allow more water to enter the stratosphere? There were not robust observations of large

180 impacts of the 1991 Pinatubo eruption on stratospheric water vapor, but was this a result of a
181 poor observing system?

182 *Is there ozone depletion from heterogeneous reactions on the stratospheric aerosols?*

183 How do changes in other species, such as H₂O, NO_x, and those containing Br and Cl, interact
184 with the ozone chemistry, and what is the dependence on temperature changes and the location
185 and time of year of the aerosols? Simulations of increased aerosol loading have also found
186 changes in upper tropospheric chemistry (Hendricks et al., 1999).

187 *As the aerosols leave the stratosphere, and as the aerosols affect the upper troposphere*

188 *temperature and circulation, are there interactions with cirrus clouds? Do cirrus clouds*

189 *increase or decrease, and how do these changes depend on the aerosol concentration and*

190 *particular atmospheric conditions? How can observed cirrus changes be attributed to volcanic*

191 *effects as compared to changes that would take place in response to normal climate variability?*

192 The connection between stratospheric sulfate aerosols and cirrus clouds in the upper troposphere

193 has been studied in the context of volcanoes, with some studies indicating an effect from

194 volcanic eruptions mixed with a signal from ENSO (e.g., Wylie et al. 1994, Sassen et al. 1995,

195 Song et al. 1996), but others finding no impact (Luo et al. 2003, Massie et al. 2003, Lohmann et

196 al. 2003). The issue is important and not yet resolved, but the Kuebbeler et al. (2012) modeling

197 study found that cirrus impacts of stratospheric geoengineering would enhance the global cooling

198 by depleting the cirrus clouds.

199 *How will tropospheric chemistry be affected by stratospheric geoengineering? What is*

200 *the impact of the “rain-out” of stratospheric aerosols into the upper troposphere? Will the*

201 *changing distribution of ultraviolet light caused by ozone depletion have subsequent impacts on*

202 *the troposphere, particularly through OH and NO_x chemistry?*

203 **2.3. Differences between volcanic eruptions and stratospheric geoengineering**

204 Volcanic eruptions are clearly analogous to SRM using stratospheric aerosols in many
205 ways, and thus serve as an important component of addressing the uncertainties listed above.
206 However, there are also a few important differences:

207 *Volcanic eruptions are into a clean stratosphere.* The most significant difference is that
208 injecting sulfate into a “clean” stratosphere results in a different coagulation problem from a
209 continuous injection scenario. Theoretical studies show that massive volcanic eruptions
210 (Timmreck et al., 2010) or continuous injection (Heckendorn et al., 2009) will result in larger
211 particles than after a one-time injection such as from the 1991 Pinatubo eruption. The larger
212 mean radii expected for geoengineering would result not only in higher concentrations being
213 required to obtain the same radiative forcing, but also more rapid fallout into the troposphere,
214 which would both increase the injection rate required to sustain the desired geoengineering effect
215 and increase the potential for impacts on cirrus and upper tropospheric chemistry.

216 *Volcanic eruptions also include significant ash.* Therefore, it may be difficult to
217 determine whether any initial effect observed (or not) on cirrus cloud formation, for example, is
218 due to the ash rather than the sulfate. The lifetime of the ash is shorter than that of the aerosols,
219 so this attribution question is primarily a challenge immediately after an eruption, but very small
220 ash may serve as nuclei for sulfate aerosols and their effects may persist much longer.

221 *The time-scale of radiative forcing is different.* This needs to be taken into account in
222 extrapolating between the climate response observed after a volcanic eruption and what would be
223 expected for continuous injection. For example, land-sea temperature contrast and precipitation
224 respond to radiative forcing changes relatively rapidly (Dong et al., 2009), but global mean
225 temperature changes more slowly, and hence the ratio of precipitation to temperature changes

226 should be expected to be much more pronounced after a volcanic eruption than due to continuous
227 SRM (MacMynowski et al, 2011b).

228 Because of the above differences, observations cannot be used as a direct estimate for
229 conditions under continuous geoengineering. Regardless of the data available after an eruption,
230 there will remain uncertainty in the factors listed above. These uncertainties can be limited by
231 modeling or more representative outdoor direct testing, which for some uncertainties may require
232 “tests” large enough to look more like deployment (Robock et al., 2010). Because of governance
233 and other issues, such in situ testing may never take place (Robock, 2012).

234 **2.4. Volcanic monitoring**

235 The ability to successfully take observations after a volcanic eruption would be extremely
236 valuable for validating models. However, previous large eruptions have not been sufficiently
237 well monitored. More information is required, for example, regarding the initial aerosol
238 concentrations in order to better validate particle formation, coagulation, and evolution models.
239 Thus we make two recommendations.

240 First, more can be learned from further data mining from past eruptions; in addition to
241 improving our knowledge, this will also clarify the observational gaps that need to be filled. The
242 focus specifically on the uncertainties associated with geoengineering leads to a different
243 perspective and hence possibly different questions from what might be asked if the goal were
244 solely to understand volcanic eruptions. For example, it is insufficient to know whether a
245 volcanic eruption does or does not have some impact on cirrus, without being able to separate
246 out effects due to ash, or understand the dependence on the aerosol size distribution.

247 Second is to develop either a rapid response system or system for continuous
248 observations so that we are ready for the next large volcanic eruption, and can gather the data

249 needed to validate models. The evolution in stratospheric sulfate aerosol size distribution occurs
250 over the first few months after an eruption (Stenchikov et al., 1998; English et al., 2011, English
251 et al., 2012b), underscoring the need for a rapid response capability. Sustained observations
252 would be required from less than roughly 3 months to 18 months following a massive eruption to
253 capture the initial ramp-up, peak, and ramp-down of aerosol concentrations.

254 To provide data for validating the modeling of particle size distributions and their
255 evolution, a volcanic monitoring system would need to obtain observations during the first few
256 months after an eruption. This means that any rapid response system needs to be available for
257 deployment at any time, with funding in place for the personnel and equipment. This rapid
258 response capability needs to be in addition to sustained background observations (e.g., Deshler et
259 al., 2003).

260 To be of most use, a volcanic cloud monitoring system will need to measure the spatial
261 peak (highest concentration) of the plume. Limb-scanning satellite measurements, such as
262 SAGE-II, did not see the densest part after the 1991 Pinatubo eruption (Stenchikov et al., 1998).
263 For balloon-based observing, this also requires a plume forecast capability (Vernier and Jumelet,
264 2011). Satellite observations will also need independent data on the aerosol size distribution if
265 existing retrieval techniques depend on such assumptions. Stratospheric chemistry observations
266 will require high resolution measurements with stratospheric balloons or high altitude aircraft.
267 Cirrus is adequately observed with existing systems (Sassen et al, 2008; Vernier et al., 2009);
268 uncertainties in cirrus impact are thus related to natural variability, and uncertainties in aerosol
269 concentrations in the densest part of the volcanic plume.

270 **3. Ship tracks and marine cloud brightening**

271 Increasing the brightness of marine boundary layer clouds through the injection of
272 aerosols such as sea salt (Latham, 1990) has also been proposed as a means of solar radiation
273 management. This strategy derives from the observation of ship tracks, where, depending on
274 conditions, there is a clear cloud signal resulting from the injection of aerosols from the ship
275 exhaust (Christensen and Stephens, 2011). However, the complexity of cloud-aerosol
276 interactions results in substantial uncertainties as to the effectiveness of this approach. As in the
277 case of using volcanic eruptions as an analog to stratospheric aerosol geoengineering, there is
278 much that can be learned from analogs. In this case, the principal analogs are anthropogenic, in
279 the form of ship exhaust or emissions from coastal sites, although volcanic plumes in the
280 boundary layer have also been explored (Yuan et al., 2011). A more thorough analysis of
281 existing data would both improve our knowledge and clarify the observational gaps that need to
282 be filled. There are also observational gaps that limit our current ability to assess this approach,
283 such as the entrainment rate, or direct measurement of albedo at high spatial resolution.

284 The key concept is that increasing the number of cloud condensation nuclei (CCN) while
285 keeping cloud liquid water constant results in more, smaller, droplets, and an increase in cloud
286 albedo, the “Twomey” effect (Twomey, 1974). However, liquid water path (LWP) rarely
287 remains constant, due to changes in precipitation and entrainment with increasing aerosol
288 (Ackerman et al, 2004), and these changes can produce radiative impacts of the same order as
289 those predicted from the Twomey hypothesis (e.g., Lohmann and Feichter, 2005).
290 Stratocumulus clouds also tend to naturally “buffer” against processes (such as changing aerosol)
291 that change cloud albedo and precipitation (Stevens and Feingold, 2009), for example through
292 changes in entrainment. As a consequence, robust relationships among changes in precipitation,
293 cloud albedo, and cloud coverage have not yet been established from observations. Furthermore,

294 we have inadequate observations to analyze the processes which influence these cloud
295 properties. The challenges in understanding all of the feedbacks involved, and when the
296 introduction of aerosols leads to greater albedo, and when it does not, points to the need both for
297 careful data analysis, and for greater observational capability.

298 **3.1 Key Uncertainties**

299 There are several important uncertainties that would need to be resolved to understand
300 the effectiveness and impact of marine cloud brightening for geoengineering. The first two we
301 list here are closely related, and are also essential for understanding cloud-aerosol interactions
302 for climate change modeling in general.

303 a) The sensitivities of marine cloud albedo to specific processes and parameters are poorly
304 understood (e.g., entrainment, LWP, turbulent kinetic energy (TKE), cloud droplet
305 number concentration, cloud fraction), which limits our ability to determine under what
306 conditions the net albedo increases with increased aerosols. In particular, no
307 observational studies are able to measure the albedo sensitivity to entrainment and TKE.

308 b) Much of the data analysis to date has focused on ship tracks, as they represent the most
309 visible change due to aerosols. However, exhaust plumes do not always produce ship
310 tracks, and the clouds that are receptive to the plumes span a limited range of
311 stratocumulus conditions, typically less than 1 km cloud top height in a relatively clean
312 environment (Coakley et al., 2000). It is also important to understand the aerosol indirect
313 effect on clouds from other (non-ship track) emissions and pollution, including large
314 smelters and volcanic plumes. Given the larger variability and range of environmental
315 conditions, there could be greater uncertainty in the magnitude of the effect of additional

316 aerosols on cloud albedo outside of the narrow range of conditions where ship tracks are
317 visible.

318 c) Assessment of the predicted climate response to the spatially inhomogeneous radiative
319 forcing introduced by selective brightening of marine boundary layer clouds. To offset a
320 significant fraction of anthropogenic radiative forcing using this approach, large changes
321 in radiative forcing would be required over relatively small spatial extent, with unknown
322 climate impact. For example, simulations by Jones et al. (2009) offset 35% of the
323 radiative forcing due to current greenhouse gases with marine cloud brightening, but
324 found detrimental effects on precipitation and net primary productivity in some regions.
325 There could also be a large impact on drizzle and precipitations along coastlines; further
326 assessments are clearly needed.

327 **3.2 What have we learned, and what are the gaps?**

328 There have been several comparative albedo studies for ship-tracks (e.g., Schreier et al.,
329 2007; Christensen and Stephens, 2011; Peters et al., 2011; Chen et al., 2012), as well as other
330 emission sources such as volcanic plumes in the boundary layer (Yuan et al., 2011; Gassó et al.,
331 2008). Some of the uncertainties above could also be addressed through experiments that
332 intentionally introduce aerosols while monitoring cloud properties, such as the recent Eastern
333 Pacific Emitted Aerosol Cloud Experiment (Russell et al., 2013). Whether the aerosols are
334 introduced in a controlled experiment, or the effects of current aerosol emissions are monitored,
335 there are gaps in our observational capabilities. Table 1 summarizes capabilities and gaps in
336 observations of key parameters for past field experiments as well as satellite observations.

337 Aerosol-cloud interactions are complex and cloud albedo is not always enhanced by
338 increasing the aerosol concentration. For example, Christensen and Stephens (2012) found that

339 cloud dimming occurred as frequently as cloud brightening when ship tracks were observed in
340 precipitating closed cellular clouds. Cloud dimming primarily resulted from decreases in liquid
341 water path caused, presumably, by the enhanced entrainment of the dry overlying air into the
342 polluted clouds with smaller droplets. By contrast, ship tracks observed in open cells, where the
343 free-troposphere is relatively moist by comparison, almost always exhibited cloud brightening
344 compared to the surrounding unaffected clouds. The extent of LWP adjustments in response to
345 changes in aerosol concentrations remains largely uncertain for low-level clouds as a whole,
346 because these changes are linked to changes in entrainment and moisture in the free-troposphere,
347 and these variables are either not measured at all from space (entrainment) or not measured with
348 sufficient accuracy (moisture) to capture mixing at the entrainment interface.

349 Despite this progress on exploring the impact of aerosols on observed ship tracks,
350 radiative forcing estimates of these “linear” ship tracks from satellite observations cast
351 substantial doubt on the efficacy of using SRM strategies to brighten low-level clouds. Schreier
352 et al. (2007) demonstrate that the radiative effect can be as large 100 W m^{-2} at the individual
353 scale of the ship track, however, when integrated over the globe, the annual mean effect is
354 negligible (-0.4×10^{-3} to $-0.6 \times 10^{-3} \text{ W m}^{-2}$). Similar results were identified by Peters et al.
355 (2011), in which the properties of clouds were unchanged even near the world’s most densely
356 populated shipping lanes. However, although the impact has been shown to be negligible on the
357 global scale, ship tracks can still inform process understanding of aerosol-cloud interactions on
358 the cloud and regional scale. The aerosol indirect forcing in an individual ship track is inferred
359 from space using Moderate Resolution Imaging Spectroradiometer (MODIS)-derived optical
360 cloud properties, which leads to significant uncertainty in partly cloudy conditions, since there is
361 insufficient spatial resolution from current albedo measurements (e.g., Clouds and Earth’s

362 Radiant Energy System (CERES) footprint is ~20 km). Higher resolution (~1 km) satellite-
363 based albedo measurements would improve the assessment of aerosol indirect effects in “linear”
364 ship track observational studies, and thus improve our understanding of aerosol indirect effects at
365 the process level.

366 Aerosol plumes that do not produce ship tracks but nonetheless affect the properties of
367 clouds after becoming widely dispersed are difficult, if not impossible to detect using current
368 satellite technology. Goren and Rosenfeld (2012) describe a case study in which the emissions
369 from ships affect the properties and increase the abundance of closed cellular stratocumulus for
370 several days. It is anticipated that this may significantly contribute to the global aerosol indirect
371 forcing because sulfur emissions from shipping largely outweigh the natural biogenic production
372 in many oceanic regions, especially in the Northern Hemisphere (Capaldo et al., 1999).
373 Presumably, a small fraction of these emissions go into producing ship tracks, while the
374 remaining aerosol affects the properties of stratocumulus to an unknown extent. General
375 circulation model simulations (Capaldo et al., 1999; Lauer et al., 2007) indicate that the radiative
376 effect from shipping could be as large as 40% of the total aerosol indirect forcing due to all
377 anthropogenic activities. Given the large discrepancies in the radiative forcing between satellite
378 observations and climate model results, this is an outstanding problem.

379 There may be additional opportunities to quantify the difference in the overall cloud
380 albedo. For example, radiative effects may manifest via the gradual phase-out of high sulfur
381 content bunker fuel over the next few decades (International Maritime Organization, 1998) or
382 manifest in the remote Arctic ocean regions as ships will have the ability to travel in this area as
383 sea ice progressively melts.

384 Finally, understanding the climate response to brightening marine boundary layer clouds
385 would benefit from a new geoengineering modeling intercomparison project (GeoMIP)
386 surrounding low cloud albedo enhancement. The current GeoMIP study (Kravitz et al., 2011)
387 explores spatially uniform reductions in sunlight or stratospheric aerosols. Since not all models
388 have clouds in the same locations, or clouds receptive to albedo modification, care must be taken
389 as to whether a model intercomparison project is testing the robustness of the model-predicted
390 response to spatially inhomogeneous radiative forcing perturbations, or testing differences
391 between predicted cloud distributions, or testing differences between model parameterizations of
392 cloud-aerosol interaction. The GeoMIP project is currently expanding to conduct such
393 experiments.

394 **4. Summary**

395 Any long-term research strategy for evaluating geoengineering must include as an
396 essential component the evaluation of natural and anthropogenic analogs, volcanic eruptions in
397 the case of stratospheric aerosols and ship-tracks and other emission sources in the case of
398 marine boundary layer cloud brightening. These are imperfect analogs, and will not provide all
399 of the information required to assess effectiveness and risks. However, the ability of models to
400 match observations of analogs would increase confidence in their predictions of geoengineering
401 effects. Thus better evaluation of analogs could minimize the need for open-atmosphere testing
402 of geoengineering.

403 Current observational capabilities are insufficient to address geoengineering risks. It is
404 particularly important to improve our observational capabilities prior to the next large volcanic
405 eruption, so that our best opportunity to better understand stratospheric geoengineering is not
406 missed. Similarly, improved instrumentation could improve our assessment of the global aerosol

407 indirect effect, in order to understand the potential for marine cloud brightening beyond the
408 narrow set of conditions in which ship tracks form. This is also timely, as changes in shipping
409 fuel may soon provide an unintended experiment, but one where we have not yet adequately
410 characterized the current baseline.

411 While the questions posed here are motivated by the need to better understand
412 geoengineering, addressing these questions would have major co-benefits to climate science in
413 general, by addressing key uncertainties in the models.

414

415

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422

423

Resource	N_d	Drizzle	CCN chemistry & microphysics	Turbulence	Entrainment Rate	LWC/LWP	Albedo	Cloud Thickness
MAST	✓	✓	some	✓	x	LWC	✓	✓
MASE-I & II	✓	✓	✓	✓	x	LWC	x	✓
E-PEACE	✓	✓	✓	✓	x	LWC	x	✓
VOCALS	✓	✓	✓	✓	x	✓	x	base
DYCOMS-II	✓	✓	some	✓	✓	LWC	x	base
Satellite	x	✓	x	x	x	✓	✓	✓

424

425 **Table 1.** Cloud properties measured in different studies, or by satellite observations (bottom
426 row). Studies include MAST (Durkee et al., 2000), MASE-I & II (Lu et al., 2007 and Lu et al.,
427 2009), E-PEACE (Russell et al., 2013), VOCALS (Wood et al., 2011), and DYCOMS-II
428 (Stevens et al, 2003). Measured properties listed here include cloud condensation nuclei (CCN),
429 cloud droplet number concentration (N_d), cloud drizzle properties, CCN chemistry and
430 microphysics, turbulence, entrainment rate, either liquid water content (LWC) or liquid water
431 path (LWP), overall albedo changes, and cloud thickness measurements; measurements of
432 entrainment and albedo are clear observational gaps in most of these experiments.

433

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